



# A CONVERSE TO CARTAN'S THEOREM B:

THE EXTENSION PROPERTY FOR REAL ANALYTIC AND NASH SETS

José F. Fernando (joint work with Riccardo Ghiloni)

Universidad Complutense de Madrid • Università di Trento



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## Introduction

**Analytic case.** Let  $\Omega \subset \mathbb{R}^n$  be an open set. A subset  $X \subset \Omega$  has the *analytic extension property* if each analytic function  $f : X \rightarrow \mathbb{R}$  extends to an analytic function on  $\Omega$ .

**Main Problem I.** Which sets  $X \subset \Omega$  do have the analytic extension property?

**Nash case.** Let  $\Omega \subset \mathbb{R}^n$  be an open semialgebraic set. A subset  $X \subset \Omega$  has the *Nash extension property* if each local Nash function  $f : X \rightarrow \mathbb{R}$  extends to a Nash function on  $\Omega$ .

**Main Problem II.** Which sets  $X \subset \Omega$  do have the Nash extension property?

## Necessary condition

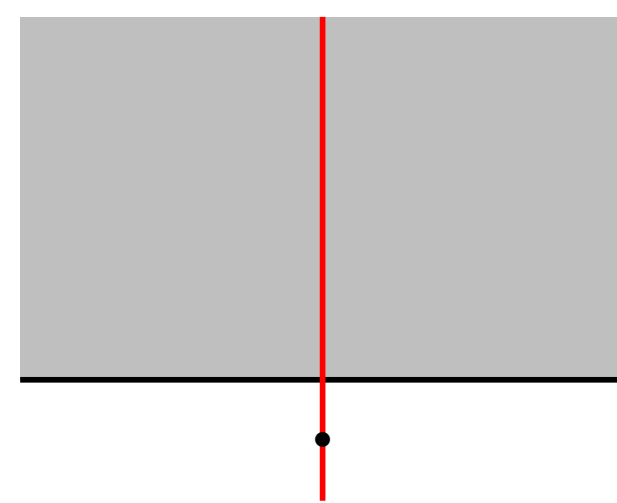
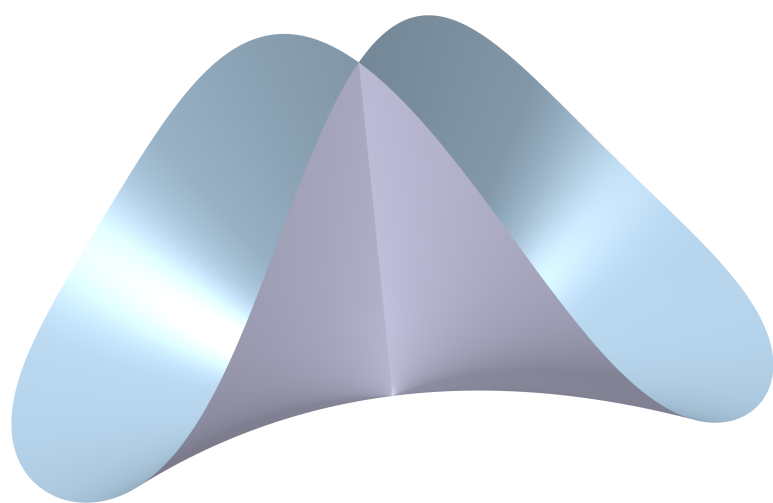
**Analytic case.**  $X$  is the zero set of an analytic function ( $C$ -analytic set).

**Nash case.**  $X$  is the zero set of a Nash function (Nash set).

**Classical example.** The necessary condition is not sufficient. Consider Whitney's umbrella  $W := \{y^2 - zx^2 = 0\} \subset \mathbb{R}^3$  and

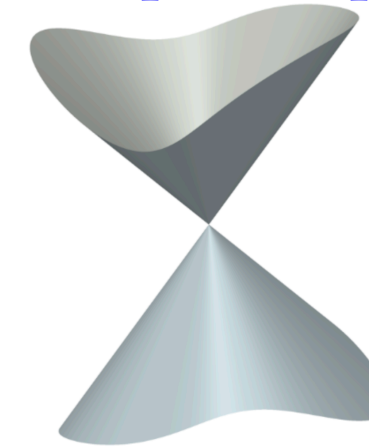
$$f : W \rightarrow \mathbb{R}, (x, y, z) \mapsto \begin{cases} \frac{x}{z+1} & \text{if } (x, y, z) \neq (0, 0, -1), \\ 0 & \text{otherwise,} \end{cases}$$

which is analytic (and Nash) on  $W$ , but it does not extend analytically to  $\mathbb{R}^3$ .

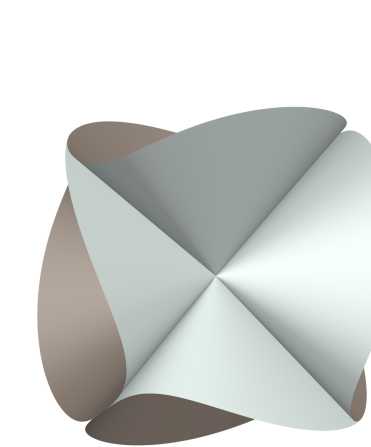


## Main results

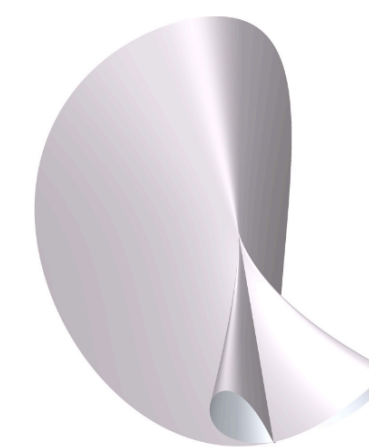
**Examples of pure dimensional non-coherent  $C$ -analytic sets**



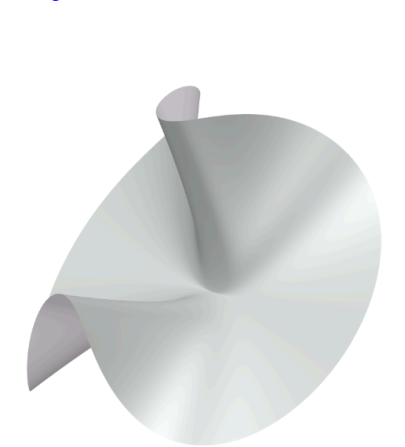
$$z(x+y)(x^2+y^2)-x^4=0$$



$$z^2(x+y)^2(x^2+y^2)-x^6=0$$



$$(x^2+zy^2)x-y^4=0$$



$$(x^2+z^2y^2)x-y^4=0$$

**Obstructing set of a meromorphic function**

Let  $\zeta := \frac{f}{g} \in \mathcal{M}(X)$  be a well-defined meromorphic function on a  $C$ -analytic set  $X$ .

$$\frac{f}{g} = -a|_X : a \in \mathcal{O}(\mathbb{R}^n) \iff f_x \in g_x \mathcal{O}_{\mathbb{R}^n, x} + \mathcal{I}(X) \mathcal{O}_{\mathbb{R}^n, x} = g_x \mathcal{O}_{\mathbb{R}^n, x} + \mathcal{I}_{X, x} \quad \forall x \in X$$

**Obstructing set:**  $\mathcal{O}(\zeta) := \{x \in X : f_x \notin g_x \mathcal{O}_{\mathbb{R}^n, x} + \mathcal{I}_{X, x}\}$  (closed subset of  $X$ ).

**Main Theorem (FGh).** Let  $X \subset \Omega$  be a  $C$ -analytic set with  $N(X) \neq \emptyset$ . Let

- $Y \subset X$  be a  $C$ -analytic subset that contains no irreducible component of  $X$  and meets  $T(X)$ ,
- $U_0 \subset \mathbb{R}^n$  be an open neighborhood of  $Y$ ,
- $h \in H^0(U_0, \mathcal{J}_X)$  be such that  $h_y \in \mathcal{J}_{X, y} \setminus \mathcal{I}_{X, y}$  for each  $y \in Y \cap T(X)$ .

There exist  $\zeta \in (\mathcal{M}(X) \cap H^0(X, \mathcal{O}_{\mathbb{R}^n}/\mathcal{J}_X)) \setminus \mathcal{O}(\mathbb{R}^n)$  such that  $\mathcal{O}(\zeta) = Y \cap T(X)$ .

**Remarks (1)** Analogous result in the Nash case.

**(2)** If  $Y \cap N(X) = \emptyset$  or  $\dim(Y) = 0$ ,  $\exists h \in H^0(U_0, \mathcal{J}_X)$  (where  $U_0 := \Omega \setminus N(X)$ ):  $h_y \in \mathcal{J}_{X, y} \setminus \mathcal{I}_{X, y} \quad \forall y \in Y \cap T(X)$ .

**An application: Smooth semialgebraic functions vs Nash functions**

Let  $S$  be a semialgebraic set.

$$\mathcal{S}^{(\infty)}(S) := \bigcap_{p \geq 0} \mathcal{S}^p(S) : \mathcal{S}^p(S) := \{\text{semialgebraic} + \mathcal{C}^p \text{ functions on } S\} \quad \forall p \geq 0$$

$$\mathcal{N}(S) = H^0(S, (\mathcal{N}_{\mathbb{R}^n}|_S)) = \varinjlim \mathcal{N}(V)|_S : V \text{ open semialgebraic neighborhood of } S$$

**Problem III.** For which semialgebraic sets  $\mathcal{S}^{(\infty)}(S) = \mathcal{N}(S)$ ?

Define

$$\mathcal{J}_{S, x}^\bullet := \{f_x \in \mathcal{N}_{\mathbb{R}^n, x} : S_x \subset \mathcal{Z}(f_x)\} \quad \text{and} \quad T(S) := \{x \in S : \mathcal{I}_{X, x}^\bullet \neq \mathcal{J}_{S, x}^\bullet\}.$$

where  $X$  is the Nash closure of  $S$  in a 'suitable' semialgebraic neighborhood of  $S$  in  $\mathbb{R}^n$ .

**Theorem (FGh, 2025)**  $\mathcal{N}(S) = \mathcal{S}^{(\infty)}(S) \iff T(S) = \emptyset$ .

## Coherence & Cartan's Theorem B

A **sufficient condition** is provided by coherence and Cartan's Theorem B.

**Coherence.** A  $C$ -analytic set  $X$  is *coherent* if its local equations at each point  $x \in X$  are generated by its global equations.

$$\mathcal{J}_{X, x} := \{f_x \in \mathcal{O}_{\mathbb{R}^n, x} : X_x \subset \mathcal{Z}(f_x)\} \quad \text{and} \quad \mathcal{I}(X) := \{f \in \mathcal{O}(\mathbb{R}^n) : X \subset \mathcal{Z}(f)\}$$

$$X \text{ is coherent} \iff \mathcal{J}_{X, x} = \mathcal{I}_{X, x} := \mathcal{I}(X) \mathcal{O}_{\mathbb{R}^n, x} \quad \forall x \in X$$

**Cartan's Theorem B (1957)**  $\implies$  If  $X \subset \Omega$  is a coherent  $C$ -analytic set,  $X$  has the analytic extension property.

**Theorem (FGh, 2025)**  $X \subset \Omega$  has the analytic extension property  $\iff X$  is a coherent analytic set. If  $X$  is not coherent, there are 'many' failing functions!

**Nash Theorem B (Coste-Ruiz-Shiota, 2000)**  $\implies$  If  $X \subset \Omega$  is a coherent Nash set,  $X$  has the Nash extension property.

**Theorem (FGh, 2025)**  $X \subset \Omega$  has the Nash extension property  $\iff X$  is a coherent Nash set. If  $X$  is not coherent, there are 'many' failing functions!

## Distinguished sets: Sets of 'tails' and points of non-coherence

Let  $X \subset \Omega$  be a  $C$ -analytic set.

$T(X) := \{x \in X : \mathcal{J}_{X, x} \neq \mathcal{I}_{X, x}\} \subset \text{Sing}(X)$  is  $C$ -semianalytic &  $\dim(T(X)) < \dim(X)$ .

$N(X) := \{x \in X : \mathcal{J}_X \text{ is not of finite type at } x\}$  is closed,  $C$ -semianalytic &  $\dim(N(X)) \leq \dim(X) - 2$ .

### Properties of 'tails' and non-coherence

**(1)**  $\text{Cl}(T(X)) = \text{Cl}(T(X) \setminus N(X)) = T(X) \cup N(X)$ .

**(2)**  $X$  is coherent  $\iff T(X) = \emptyset \iff N(X) = \emptyset$

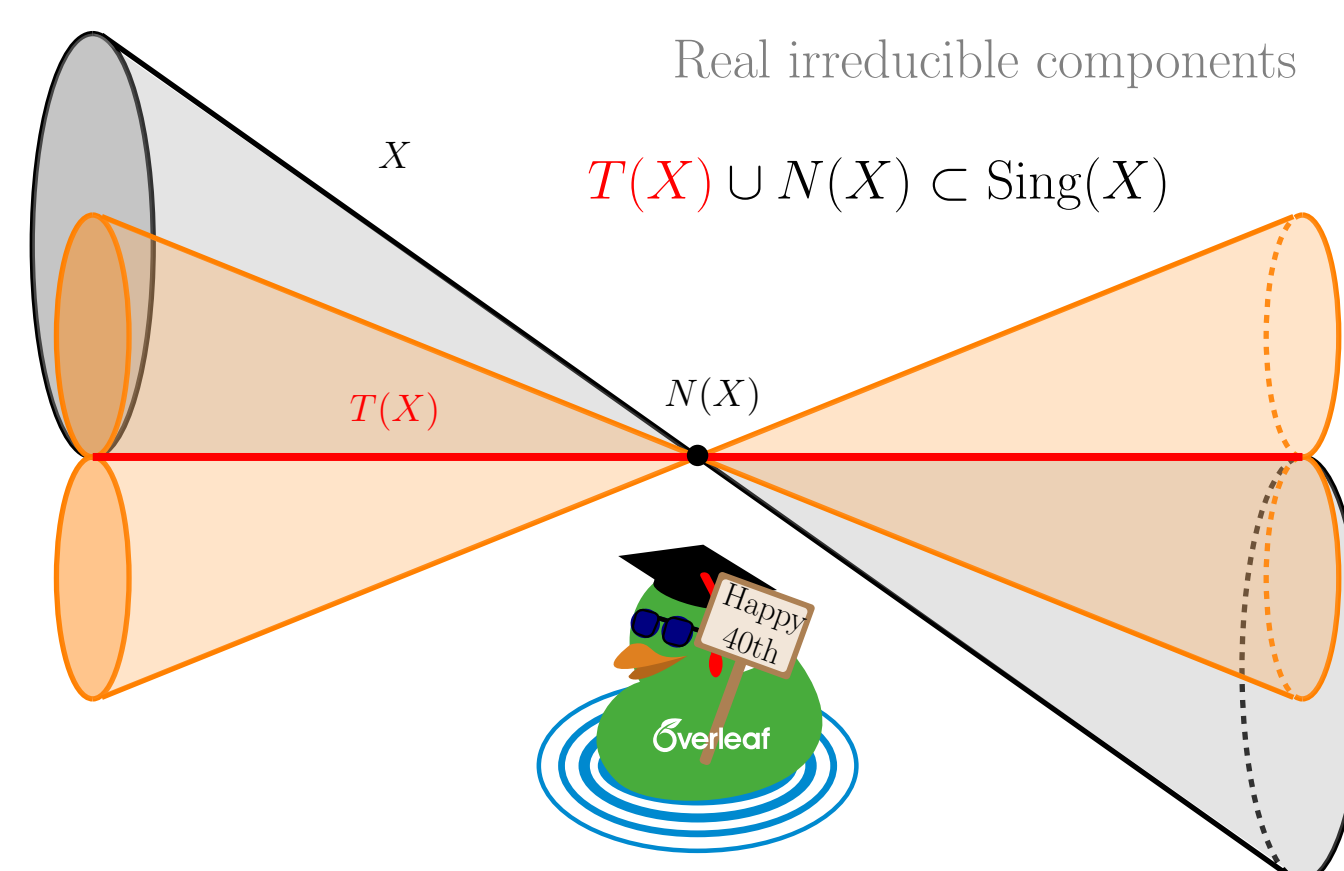
**(3)** If  $S$  is a connected component of  $\text{Cl}(T(X))$ , then  $S \cap N(X) \neq \emptyset$ .

**(4)** A general idea in Real Geometry is that non-coherence arises when the irreducible components of the objects are not pure dimensional.

**$C$ -semianalytic set:** A locally finite union in  $\Omega$  of *basic  $C$ -semianalytic subsets*  $\{f = 0, g_1 > 0, \dots, g_r > 0\}$  where  $r \geq 1$  and  $f, g_i \in \mathcal{O}(\mathbb{R}^n)$ .

## Tails and non-coherence points

'Imaginary Vision Glasses' Imaginary irreducible components



## Selected References

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